



RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001
TEL. (033) 2242-5812, (033) 3023-0347
E-mail : rfsl.nbfc@gmail.com

Date: 12th January, 2016

The Manager
Dept of Corporate Services
Bombay Stock Exchange,
Mumbai - 400001

Sub: Corporate Governance Report for the quarter ended 31st December, 2015

Ref. :-Scrip Code - 539435

Dear Sir / Madam,

As per Regulation 15 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the compliance with the Corporate Governance provisions specified in Regulations 17,18,19,20, 21, 22,23,24,25,26,27 and Clauses (b) to (i) of sub-regulation (2) of Regulation 46 and para C, D and E of Schedule V shall not apply to the following class of Companies:

- a. The listed companies having paid up equity share capital not exceeding Rs. 10 Cr. and Net worth not exceeding Rs. 25 Cr., as on the last day of the previous financial year;
- b. Companies whose equity share capital is listed exclusively on the SME Exchange.

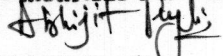
The paid up Equity Share capital of the Company is Rs. 3,75,01,000/- and Net Worth of the Company is Rs.5,70,12,251/- (As per latest Audited Balance Sheet -14-15) therefore the provisions of Corporate Governance of the Listing Regulations are not applicable to our Company.

Kindly take the same on record and acknowledge the receipt.

Thanking you,

Yours faithfully,

For Richfield Financial Services Limited
For RICHFIELD FINANCIAL SERVICES LTD.


Abhijit Puglia
Managing Director
Executive Director.
DIN NO. 05172495