



RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001
TEL. (033) 2242-5812, (033) 3023-0347
E-mail : rfsl.nbfc@gmail.com

Date: 24.10.2019

To

The Secretary, Listing Department
CSE Limited
7, Lyons Range, Dalhousie,
Murgighata, B B D Bagh,
Kolkata-700001
West Bengal, India

The Secretary, Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai- 400001
Maharashtra, India
Scrip Code:539435

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed a Certificate dated 20th October, 2019 for the Half Year ended 30th September, 2019 from Ms. Richa Shukla, Practicing Company Secretary certifying the status of the company's request for the transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificates, exchange or endorsement of calls/ allotment monies received during the period from 1st April, 2019 to 30th September, 2019.

This Certificate has been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information & records.

Yours faithfully,

For Richfield Financial Services Limited

RICHFIELD FINANCIAL SERVICES LTD.


Director

Rajesh Kumar Kankaria

Director

DIN: 00097236

Encl: As above

NICHE TECHNOLOGIES PRIVATE LIMITED

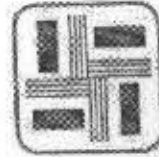
(An ISO 9001:2015 Certified Company)

(Registrar & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: (033) 2280 6616 / 6617 / 6618 Fax: (033) 2280 6619

E-Mail: nichetechpl@nichetechpl.com Website: <https://www.nichetechpl.com>



TO WHOMSOEVER IT MAY CONCERN

We, as Registrar & Share Transfer Agent of **Richfield Financial Services Ltd.**, hereby certify that during the period from **01.04.2019 to 30.09.2019** as below :

- 1) Nil Physical Transfer request was received.
- 2) Nil Physical Transmission of Shares was received.
- 3) Nil request for Share Certificate of Duplicate/Split/Consolidation/Replacement was received.
- 4) Nil Rematerialisation request was received during the period **01.04.2019 to 30.09.2019**.

Place : Kolkata
Date : 12.10.2019

For Niche Technologies Pvt. Ltd.

(Authorised Signatory)





RICHA SHUKLA, M.Com, ACS
Practicing Company Secretary

Address:
044/1, R. N. Tagore Road
Kolkata - 700 077,
West Bengal

(M): +91 9051502921
(O): +91 7490193350
sricha200@gmail.com

Certificate under Regulation 40 (9) of the Securities And Exchange Board Of India
(Listing Obligations And Disclosure Requirements) Regulations, 2015

FOR THE HALF YEAR ENDED 30TH SEPTEMBER, 2019

I have examined all Share Transfer forms, Memorandum of Transfers, Registers, files and other documents relating to M/s Richfield Financial Services Limited having CIN No. L65999WB1992PLC055224, maintained by their Share Transfer Agent, M/s Niche Technologies Private Limited, (having its address at 3A, Auckland Place 7th Floor, Room No. 7A & 7B, Kolkata-700017, West Bengal), pertaining to transfer of equity shares of the company for the period from 01st April, 2019 to 30th September, 2019 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company with CSE and based on the information provided by the Company.

I hereby certify that during the half year ended on September, 2019, the aforesaid Company has not received any request in relation to transfer or transmission of shares or split / Consolidation/ Duplicate/ replacement/ rematerialisation of Share Certificates.

Place: Pune
Date: 20.10.2019



Richa Shukla

Richa Shukla
Practicing Company Secretary
ACS No. 40547
CP No 15080
UDIN: A040547A000122068