



RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001
TEL. (033) 2242-5812, (033) 40681129
E-mail : rfsl.nbfc@gmail.com
Website : www.rfsl.in

Date: 28.10.2020

To

The Secretary, Listing Department CSE Limited 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India Scrip Code:539435
--	---

Dear Sir/Madam,

Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Please find enclosed a Certificate dated 28th October, 2020 for the half-Year ended 30th September, 2020 from Ms. Richa Shukla, Practicing Company Secretary certifying the status of the company's request for the transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificates, exchange or endorsement of calls/ allotment monies received during the period from 1st April, 2020 to 30th September, 2020.

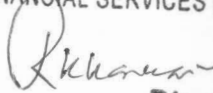
This Certificate has been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information & records.

Yours faithfully,

For Richfield Financial Services Limited

RICHFIELD FINANCIAL SERVICES LTD.


Director

Rajesh Kumar Kankaria

Director

DIN: 00097236

Encl: As above



NICHE TECHNOLOGIES PRIVATE LIMITED

(An ISO 9001:2015 Certified Company)

(Registrars & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: (033) 2280 6616 / 6617 / 6618 Fax: (033) 2280 6619

E-Mail: nichetechpl@nichetechpl.com Website: <https://www.nichetechpl.com>

TO WHOMSOEVER IT MAY CONCERN

We, as Registrar & Share Transfer Agent of **Richfield Financial Services Ltd.**, hereby certify that during the period from **01.04.2020 to 30.09.2020** as below :

- 1) Nil Physical Transfer request was received.
- 2) Nil Physical Transmission of Shares was received.
- 3) Nil request for Share Certificate of Duplicate/Split/Consolidation/Replacement was received.
- 4) Nil Rematerialisation request was received.

For Niche Technologies Pvt. Ltd.

Ashok Sen
(Authorised Signatory)

Place : Kolkata
Date : 05.10.2020



RICHA SHUKLA, M.Com, ACS
Practicing Company Secretary

Address:
844/1, R. N. Tagore Road
Kolkata – 700 077,
West Bengal

(M): +91 9051502921
(O): +91 7498193358
sricha200@gmail.com

Certificate under Regulation 40 (9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

FOR THE PERIOD FROM 1st April, 2020 to 30th September, 2020

The Securities and Exchange Board of India vide gazette notification dated 08th June, 2018 and its press release on 03rd December, 2018 amended Regulation 40 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and mandated that the transfer of securities could be carried out in dematerialized form only w.e.f. 01st April, 2019. Further SEBI vide its press release dated 27th March, 2019 clarified that the transfer deed(s) lodged prior to the above deadline and returned due to deficiency in the document(s) may be re-lodged for transfer even after the stipulated deadline of 01st April, 2019.

I have examined the consolidated statement maintained by their Share Transfer Agent, M/s Niche Technologies Private Limited, (having its address at 3A, Auckland Place 7th Floor, Room No. 7A & 7B, Kolkata-700017, West Bengal), pertaining to transfer of equity shares of M/s Richfield Financial Services Limited having CIN No. L65999WB1992PLC055224, for the period from 1st April, 2020 to 30th September, 2020 for the purpose of issuing a Certificate as per Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 entered into by the Company with CSE & BSE and based on the information provided by the Company.

I hereby certify that during the period from 1st April, 2020 to 30th September, 2020, the aforesaid Company has not received any request in relation to transfer or transmission of shares or split / Consolidation/ Duplicate/ replacement/ rematerialisation of Share Certificates.

Note:

- i) Due to the outbreak of Covid-19, I am unable to check the registers, records and other documents relating to Share Certificates as required under the provisions of SEBI (LODR), 2015 by visiting physically in the office of the Company and Registrar and Transfer Agent and have relied on the statement provided by them in this regard.

Place: Kolkata
Date: 28.10.2020



Richa Shukla

Richa Shukla
Practicing Company Secretary
ACS No. 40547
M. No. 15080
UDIN: A040547B001083116