



## RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA - 700 001  
TEL. (033) 2242-5812, (033) 3023-0347  
E-mail : rfsf.nbfc@gmail.com

Date: 29.04.2019

To

The Secretary, Listing Department <b>CSE Limited</b> 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department <b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India <b>Scrip Code:539435</b>
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Dear Sir/Madam,

**Sub: Certificate under Regulation 40(9) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

Please find enclosed a Certificate dated 16<sup>th</sup> April, 2019 for the Half Year ended 31<sup>st</sup> March, 2019 from Ms. Richa Shukla, Practicing Company Secretary certifying that the status of the company's request for the transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificates, exchange or endorsement of calls/ allotment monies received during the period from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019.

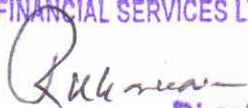
This Certificate has been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information & records.

Yours faithfully,

For Richfield Financial Services Limited

**RICHFIELD FINANCIAL SERVICES LTD.**

  
**Director**

Rajesh Kumar Kankaria

**Director**

**DIN: 00097236**

Encl: As above



**NICHE TECHNOLOGIES PRIVATE LIMITED**

(Registrar & Share Transfer Agent) CIN: U74140WB1994PTC062636

3A, Auckland Place, 7<sup>th</sup> Floor, Room No. 7A & 7B, Kolkata – 700 017

Phones: 033 2280-6616/17/18, Fax: 033 2280-6619

E-Mail: nichetechpl@nichetechpl.com Website: www.nichetechpl.com

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**TO WHOMSOEVER IT MAY CONCERN**

We, as Registrar & Share Transfer Agent of **Richfield Financial Services Ltd.**, hereby certify that during the period from **01.10.2018 to 31.03.2019** as below :

- 1) 5 Nos Physical Transfer request were received for 17800 Shares & effected.
- 2) Nil Physical Transmission of Shares was received.
- 3) Nil request for Share Certificate of Duplicate/Split/Consolidation/Replacement was received.
- 4) Nil Rematerialisation request was received during the period **01.10.2018 to 31.03.2019**.

**For Niche Technologies Pvt. Ltd.**



(Authorised Signatory)

**Place : Kolkata**  
**Date : 12.04.2019**



**RICHA SHUKLA, M.Com, ACS**  
Practicing Company Secretary

Address:  
844/1, R. N. Tagore Road  
Kolkata - 700 077,  
West Bengal

(M): +91 9051502921  
(O): +91 7003714214  
sricha200@gmail.com

**CERTIFICATE UNDER REGULATION 40(10) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE YEAR ENDED 31<sup>st</sup> MARCH, 2019**

I have examined all relevant Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to **M/s. Richfield Financial Services Limited**, a company registered under the Companies Act, 1956, having registered office at 33 Brabourne Road, 5<sup>th</sup> Floor, Kolkata-700 001, maintained by M/s Niche Technologies Private Limited, Registrar & Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> October, 2018 to 31<sup>st</sup> March, 2019 for the purpose of issuing a certificate as Regulation 40(10) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015.

Based on my examination and the information provided to me by the Company, I hereby certify that all certificates have been issued within thirty days of the date of lodgement of transfer. Further, during the period under review, the Company received no request in relation to transmission, sub-division or split, consolidation, duplicate issue of share certificates, Exchange or endorsement of calls/ Allotment monies and Rematerialization.

Place: Pune

Date: 16<sup>th</sup> April, 2019



*Richa Shukla*  
**RICHA SHUKLA**  
PRACTICING COMPANY SECRETARY  
C.P. NO. - 15080  
844/1, R.N. Tagore Road  
Kolkata - 700077  
Ph. No. - 9051502921