



**RICHFIELD FINANCIAL SERVICES LTD.**

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001  
TEL. (033) 2242-5812, (033) 3023-0347  
E-mail : rfsi.nbfc@gmail.com

27.04.2022

The Manager,  
Listing Department,  
Bombay Stock Exchange,  
Phiroze Jee Jee bhoy Towers,  
Dalal Street,  
Mumbai 400001.

The Secretary,  
Listing Department,  
Calcutta Stock Exchange,  
No.7, Lyons Range, Dalhousie,  
Murgighata, B B D Bagh,  
Kolkata-700001.

Dear Sir/Madam,

Scrip Code: BSE: 539435

Sub: Regulation 40 (9) of SEBI Listing Regulations 2015 (LODR) Transfer Compliance Certificate issued by a Practicing issued by a Practicing Company Secretary)

Pursuant to Regulation 40 (9) of SEBI (Listing Obligations and Disclosures Regulations) Regulations, 2015, We are sending herewith the certificate received from a Practicing Company Secretary for the year ended 31<sup>st</sup> March, 2022.

This is your information and records.

Thanking You,

Yours faithfully,

For Richfiled Financial Services Limited

Vadasseril Chacko Georgekutty

Managing Director

26<sup>th</sup> April 2022

## CERTIFICATE

*In terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (SEBI (LODR))*

We have examined the relevant Books, Registers and Records relating to the transfer of securities of **M/s. Richfield Financial Services Limited** as maintained and made available by **M/s. Niche Technologies Private Limited** the Registrars and Share Transfer Agents (RTA) of the Company for the period April 1, 2021 to March 31, 2022 for the purpose of rendering certificate pursuant to Regulation 40(9) of the SEBI (LODR) Regulations, 2015 and the basis of such examination and on the basis of information provided to us by the RTA and to the best of our knowledge we hereby certify that:

"No share certificate was lodged in physical form for transfer or otherwise with the Company during the period."

Date: 26-04-2022  
Place: Chennai

For Lakshmmi Subramanian & Associates  
Practicing Company Secretaries



Lakshmmi Subramanian  
C.P No: 1087  
UDIN: F003534D000212578  
Peer Review Certificate No. 1670/2022