



RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA - 700 001

TEL. (033) 2242-5812, (033) 3023-0347

E-mail : rfsl.nbfc@gmail.com

Date: 25.10.2017

To

The Secretary, Listing Department CSE Limited 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India Scrip Code: 539435
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Dear Sir/Madam,

Please find enclosed a Certificate dated October 25, 2017 for the half year ended September 30, 2017 from Ms. Richa Shukla, Practicing Company Secretary certifying that the status of the companys' request for the transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificates, exchange or endorsement of calls/ allotment monies received during the period from 1st April, 2017 to 30th September, 2017.

This Certificate has been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

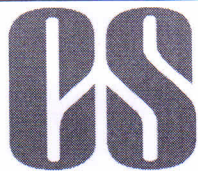
This is for your information & records.

Yours faithfully,

For Richfield Financial Services Limited

Abhijit Puglia
Managing Director
DIN: 05172495

Encl: As above



RICHA SHUKLA, M.Com., ACS
Practicing Company Secretary

Address:
844/1, R. N. Tagore Road
Kolkata - 700 077,
West Bengal

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sricha200@gmail.com

**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD
OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS)
REGULATIONS, 2015**

FOR THE HALF YEAR ENDED 30th SEPTEMBER, 2017

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Richfield Financial Services Limited, a company registered under the Companies Act, 1956, having registered office at 33 Brabourne Road, 5th Floor, Kolkata-700 001, maintained by Niche Technologies Private Limited, Registrar & Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 1st April, 2017 to 30th September, 2017 for the purpose of issuing a certificate as Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company. I hereby certify that:

A) As per the records made available to me the details relating to request for transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificate, exchange or endorsement of calls/ rematerialisation / allotment monies received during the period from 1st April, 2017 to 30th September, 2017 are as under:

SN	Particulars of change in shares	Details of change of Shares
1	Transfer	Nil
2	Transmission	Nil
3	Consolidation	Nil
4	Sub-division (Split)	Nil
5	Duplicate issue of share Certificate	Nil
6	Exchange or endorsement of calls	Nil
7	Allotment monies	Nil
8	Rematerialisation	Nil

B) Any other information, if applicable:

Place: Kolkata
Date: 25.10.2017

Richa Shukla
RICHA SHUKLA
PRACTICING COMPANY SECRETARY
C.P. NO. - 15080
844/1, R.N. Tagore Road
Kolkata - 700077
Ph. No. - 9051502921

Abg it pays