



RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA - 700 001
TEL. (033) 2242-5812, (033) 3023-0347
E-mail : rfsi.nbfc@gmail.com

Date: 13.01.2018

To

The Secretary, Listing Department CSE Limited 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department BSE Limited Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India Scrip Code: 539435
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Sub. - : Reg. 27(2) - Corporate Governance Report for the quarter ended 31st December, 2017

Dear Sir / Madam,

With regards to the captioned subject please find enclosed herewith a copy of Quarterly Compliance Report on Corporate Governance pursuant to Regulation 27(2) of Listing Regulations for the quarter ended 31st December, 2017.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For **Richfield Financial Services Limited**

RICHFIELD FINANCIAL SERVICES LTD

Director

Abhijit Puglia
Managing Director
Din No. 05172495

Encl: as above



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Sub: Corporate Governance Report for the quarter ended 31st December , 2017

Dear Sir / Madam,

As per Regulation 15 (2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the compliance with the Corporate Governance provisions specified in Regulations 17,18,19,20, 21, 22,23,24,25,26,27 and Clauses (b) to (i) of sub-regulation (2) of Regulation 46 and para C, D and E of Schedule V shall not apply to the following class of Companies:

- The listed companies having paid up equity share capital not exceeding Rs. 10 Cr. and net worth not exceeding Rs. 25 Cr., as on the last day of the previous financial year;
- Companies whose equity share capital is listed exclusively on the SME Exchange.

The paid up Equity Share capital of the Company is Rs. 3,75,01,000/- and Net Worth of the Company is Rs. 5,96,85,092/- (As per latest Audited Balance Sheet 2016-17) therefore the provisions of Corporate Governance of the Listing Regulations are not applicable to our Company.

Kindly take the same on record and acknowledge the receipt.

Thanking you,
Yours faithfully,

For Richfield Financial Services Limited
RICHFIELD FINANCIAL SERVICES LTD.

Abhijit Puglia Director
Managing Director
Din No. 05172495