



# RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA - 700 001  
TEL. (033) 2242-5812, (033) 3023-0347  
E-mail : rfsf.nbfc@gmail.com

Date: 11.01.2017

To

<b>CSE Limited</b> 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001	<b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 <b>Scrip Code: 539435</b>
---	---

**Sub. : Reg. 13(3) - Statement of Investor Complaints for the quarter ended December 31, 2016**

Dear Sir / Madam,

With regards to the captioned subject please find enclosed herewith Statement of Investor Complaints pursuant to Regulation 13(3) of Listing Regulations for the quarter ended December 31, 2016.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For **Richfield Financial Services Limited**  
RICHFIELD FINANCIAL SERVICES LTD.

Abhijit Puglia  
Managing Director  
DIN NO. 05172495

Director

Encl: As above

Complaints Search Change Password View Login Usage Edit Profile User Manual Logout

Last Successful Login on : 13/10/2016, 13:18:29  
Last Unsuccessful Login on : 09/01/2017, 12:57:29

Complaints Status as on: 09/01/2017

<b>Total Complaints Received</b>	--
<b>Total Pending Complaints</b>	--
<b>Total Resolved Complaints</b>	--
<b>Total Complaints Withdrawn</b>	--
<b>ATR/Reply Sent and Pending with SEBI</b>	--
Review(s) Received	<a href="#">Click Here</a>
<hr/>	
New Complaints(For Assessment)	--
Action Taken Report Received	--
Action Taken Report Sent	--
Pending Complaints(Within Our Office)	--
Pending Complaints(With Investor)	--
Pending Complaints(With Subordinate Organisations)	--
Pending Complaints(With Subordinate Organisations - ATR not Received)	--

thentication form to scores@sebi.gov.in \*\*\*

!! For Listed Companies - Procedure to obtain SCORES user-id and password [click here](#) !!

RICHFIELD FINANCIAL SERVICES LTD.

*Abhijit Pugh*  
Director



# RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA - 700 001  
TEL. (033) 2242-5812, (033) 3023-0347  
E-mail : rfsf.nbfc@gmail.com

Date: 11.01.2017

## STATEMENT OF INVESTOR COMPLAINTS:

Sr. No.	Particulars	No. of Complaints
1.	Pending at beginning of the Quarter	Nil
2.	Received during the Quarter	Nil
3.	Disposed of during the Quarter	Nil
4.	Remaining unresolved at the end of the Quarter	Nil

For Richfield Financial Services Limited  
RICHFIELD FINANCIAL SERVICES LTD.

*Abhijit Puglia*

Director

Abhijit Puglia  
Managing Director  
DIN NO. 05172495



**NICHE TECHNOLOGIES PRIVATE LIMITED**

(Registrars & Share Transfer Agent) CIN: U74140WB1994PTC062636

D-511, Bagree Market, 5<sup>th</sup> Floor; 71, B.R.B. Basu Road; Kolkata -700 001

Phones: 033 2234-3576, 2235-7270 / 7271 Fax: 033 2215-6823

E-Mail: nichetechpl@nichetechpl.com Website: www.nichetechpl.com

NTPL/RFSL/QTR  
RICHFIELD FINANCIAL SERVICES LIMITED  
33 BRABOURNE ROAD  
KOLKATA- 700 001

Dated: 06.01.2017

Dear Sirs,

The status of investor complaints during the period from 01.10.2016 to 31.12.2016 is as follows:

Number of Complaints From 01.10.2016 To 31.12.2016			
Pending as on 30.09.2016	Received	Redressed	Pending as on 31.12.2016
Nil	Nil	Nil	Nil

Yours faithfully,  
For Niche Technologies Pvt. Ltd.



Ashok Sen  
(Authorised Signatory)