



**RICHFIELD FINANCIAL SERVICES LTD.**

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA -700 001  
TEL. (033) 2242-5812, (033) 40681129  
E-mail : rfsi.nbfc@gmail.com  
Website : www.rfsi.in

Date: 11.10.2018

To

The Secretary, Listing Department <b>CSE Limited</b> 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department <b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India <b>Scrip Code: 539435</b>
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**Sub. : Reg. 13(3) – Statement of Investor Complaints for the quarter ended 30<sup>th</sup> September, 2018**

Dear Sir / Madam,

With regards to the captioned subject please find enclosed herewith Statement of Investor Complaints pursuant to Regulation 13(3) of Listing Regulations for the quarter ended 30<sup>th</sup> September, 2018.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For Richfield Financial Services Limited  
**RICHFIELD FINANCIAL SERVICES LTD.**  
  
Rajesh Kumar Kankaria  
Director  
Din No. 00097236



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**STATEMENT OF INVESTOR COMPLAINTS DURING THE PERIOD FROM  
01.07.2018 to 30.09.2018**

<b>Sr. No.</b>	<b>Particulars</b>	<b>No. of Complaints</b>
1	Pending at beginning of the Quarter	Nil
2	Received during the Quarter	Nil
3	Disposed of during the Quarter	Nil
4	Remaining unresolved at the end of the Quarter	Nil

For Richfield Financial Services Limited  
**RICHFIELD FINANCIAL SERVICES LTD.**  
  
Rajesh Kumar Kankaria  
Director  
Din No. 00097236

**Complaints Status as on: 11/10/2018**

<b>Total Complaints Received</b>	0
<b>Total Resolved Complaints</b>	0
<b>Total Pending Complaints</b>	0
<b>Total Complaints Withdrawn</b>	0
<b>ATR/REPLY Sent and Pending With SEBI</b>	0
<b>Review(s) received</b>	<a href="#">Click Here</a>
<b>Direct complaint(s) received from investor</b>	<a href="#">Click Here</a>
<b>New Complaints from SEBI (For Assessment)</b>	--
Clarification Sought By SEBI/Higher Organization	--
Action Taken Report Received	--
Action Taken Report Sent	--
Pending Complaints(Within Our Office)	--
Pending Complaints(With Investor)	--
Pending Complaints(With Subordinate Organisations)	--
Pending Complaints(With Subordinate Organisations - Atr not Received)	--
Reminder/Clarification received from investor/SEBI	--
Clarification sought from subordinate organisation	--