



# RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001  
TEL. (033) 2242-5812, (033) 40681129  
E-mail : [rfsl.nbfc@gmail.com](mailto:rfsl.nbfc@gmail.com)  
Website : [www.rfsl.in](http://www.rfsl.in)

Date: 11.10.2018

To

The Secretary, Listing Department <b>CSE Limited</b> 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department <b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India <b>Scrip Code: 539435</b>
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Dear Sir / Madam,

**Sub. - : Reconciliation Share Capital Audit Report for the quarter ended 30<sup>th</sup> September, 2018**

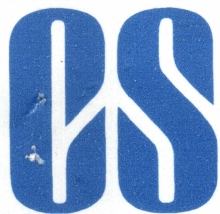
With regards to the captioned subject please find enclosed herewith the Reconciliation of Share Capital Audit Report pursuant to Reg. 55A of SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 30<sup>th</sup> September, 2018 issued by Ms. Richa Shukla, Practicing Company Secretary.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For Richfield Financial Services Limited  
**RICHFIELD FINANCIAL SERVICES LTD.**  
  
Rajesh Kumar Kankaria  
Director  
Din No. 00097236



**RECONCILIATION OF SHARE CAPITAL AUDIT REPORT for SEPTEMBER, 2018**

(As per Regulation 55A of the SEBI (Depositors and Participants Regulations, 1996))

1	For Quarter Ended	30.09.2018				
2	ISIN	INE201C01012				
3	Face Value	10/-				
4	Name of the Company	Richfield Financial Services Limited.				
5	Registered Office Address	33, Brabourne Road, 5 <sup>th</sup> Floor, Kolkata-700 001, West Bengal				
6	Correspondence Address	-DO-				
7	Telephone & Fax Nos.	(033) 2242-5812 & 2242-6208				
8	Email Address	<a href="mailto:rfsl.nbfc@gmail.com">rfsl.nbfc@gmail.com</a>				
9	Name of the Stock Exchanges where Company's securities are listed	Bombay Stock Exchange (BSE) & Calcutta Stock Exchange (CSE)				
10	Issued Capital Equity shares of Rs. 10/- each	No. of Shares		Issued Capital		
		3750100		100%		
11	Listed Capital (Equity) (As per Company's Record)	No. of Shares		Listed Capital		
		3750100		100%		
12	Held in dematerialised form in CDSL	No. of Shares		% of Holding		
		205619		5.48%		
13	Held in dematerialised form in NSDL	No. of Shares		% of Holding		
		3452581		92.07%		
14	Physical	No. of Shares		% of Holding		
		91900		02.45%		
15	Total No. of shares(12+13+14)	No. of Shares		% of Holding		
		3750100		100%		
16	Reasons for difference if any, between (10&11), (10&15), (11&15)	N.A.				
17	Certifying the details of changes in share capital during the quarter under consideration as per table below:					
Particulars**	No. of	Applied/	Listed on	Whether	Whether	In-Principal



*	shares	Not applied for listing	Stock Exchanges (specify Names)	intimated to CDSL	intimated to CDSL	approval pending from Stock Exchanges
<b>Not Applicable</b>						
***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)						
18.	Register of Member is updated (Yes/No)			YES		
	If not, updated up to which date					
19.	Reference of previous quarter with regards to excess dematerialised shares, if any.			No. of Shares	% of Holding	
<b>NOT APPLICABLE</b>						
20.	Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?					N.A
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay					
Total No. of Demat requests		No. of requests		No. of shares		Reasons for delay
Confirming after 21 Days		-		-		-
Pending for more than 21 Days		-		-		-
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.			Soumitra Ghosh Ph - 2242-5812		
23.	Name, Address, Tel. & Fax No., Regn. no. of the Practicing Company Secretary			Richa Shukla 844/1 R. N. Tagore Road, Kolkata-700077 Ph - 9051502921 M.No. 40547 C.P. 15080		
24.	Appointment of common agency for share registry work			Yes, Niche Technologies Pvt. Ltd. Room No. D-511 Bagree Market, 5 <sup>TH</sup> Floor, 71, BRBB Road, Kolkata - 700001		
25.	Any other detail that the auditor may like to provide (E.g. BIFR company, delisting from SE, company changed its name etc.)			N.A.		

Place: Kolkata  
Date: 11.10.2018

*Richa Shukla*  
**RICHA SHUKLA**  
PRACTICING COMPANY SECRETARY  
C.P. NO. - 15080  
844/1, R.N. Tagore Road  
Kolkata - 700077  
Ph. No. - 9051502921