



RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001
TEL (033) 2242-5812, (033) 3023-0347
E-mail : rfsl.nbfc@gmail.com

Date: 24.10.2019

To

The Secretary, Listing Department
CSE Limited
7, Lyons Range, Dalhousie,
Murgighata, B B D Bagh,
Kolkata-700001
West Bengal, India

The Secretary, Listing Department
BSE Limited
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai- 400001
Maharashtra, India
Scrip Code: 539435

Dear Sir / Madam,

Sub. - : Reconciliation Share Capital Audit Report for the quarter ended 30th September, 2019

With regards to the captioned subject please find enclosed herewith the Reconciliation of Share Capital Audit Report pursuant to Reg. 55A of SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 30th September, 2019 issued by Ms. Richa Shukla, Practicing Company Secretary.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For **Richfield Financial Services Limited**

RICHFIELD FINANCIAL SERVICES LTD.

Director

Rajesh Kumar Kankaria

Director

DIN: 00097236

Encl: as above



RICHA SHUKLA, M.Com, ACS
Practicing Company Secretary

Address:
844/1, R. N. Tagore Road
Kolkata - 700 077,
West Bengal

(M): +91 9051502921
(O): +91 7498193358
sricha200@gmail.com

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

As per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 pursuant to Notification No. SEBI/LAD-NRO/GN/2018/40 dated 03rd October, 2018 and pursuant to circular D&CC/FITTC/CIR - 16/2002 issued by the Securities and Exchange Board of India

1	For Quarter Ended	30.09.2019				
2	ISIN	INE201C01012				
3	Face Value	Rs. 10/- each (Rupees Ten each)				
4	Name of the Company	Richfield Financial Services Limited.				
5	Registered Office Address	33, Brabourne Road, 5 th Floor, Kolkata-700 001, West Bengal				
6	Correspondence Address	33, Brabourne Road, 5 th Floor, Kolkata-700 001, West Bengal				
7	Telephone & Fax Nos.	(033) 2242-5812 & 2242-6208				
8	Email Address	rfsi.nbfc@gmail.com				
9	Name of the Stock Exchanges where Company's securities are listed	Bombay Stock Exchange (BSE) & Calcutta Stock Exchange (CSE)				
10	Issued Capital	No. of Shares	Issued Capital			
	Equity shares of Rs. 10/- each	3750100	100%			
11	Listed Capital (Equity) (As per Company's Record)	No. of Shares	Listed Capital			
		3750100	100%			
12	Held in dematerialised form in CDSL	No. of Shares	% of Holding			
		208049	5.55%			
13	Held in dematerialised form in NSDL	No. of Shares	% of Holding			
		3468251	92.48%			
14	Physical	No. of Shares	% of Holding			
		73800	01.97%			
15	Total No. of shares(12+13+14)	No. of Shares	% of Holding			
		3750100	100%			
16	Reasons for difference if any, between (10&11), (10&15), (11&15)	N.A.				
17	Certifying the details of changes in share capital during the quarter under consideration as per table below:					
Particulars ***	No. of shares	Applied/ Not applied for listing	Listed on Stock Exchanges (specify Names)	Whether intimated to CDSL	Whether intimated to CDSL	In-Principal approval pending from Stock Exchanges



Not Applicable			
***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)			
18.	Register of Member is updated (Yes/No)	YES	
	If not, updated up to which date	Not applicable	
19.	Reference of previous quarter with regards to excess dematerialised shares, if any.	Not applicable	
20.	Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	Not applicable	
21.	Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay		
Total No. of Demat requests		No. of requests	No. of shares
Confirming after 21 Days		-	-
Pending for more than 21 Days		-	-
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Soumitra Ghosh Ph - 2242-5812	
23.	Name, Address, Tel. & Fax No., Regn. no. of the Practicing Company Secretary	Richa Shukla 844/1 R. N. Tagore Road, Kolkata-700077 Ph - 7498193358 M.No. 40547 C.P. 15080	
24.	Appointment of common agency for share registry work	Yes, Niche Technologies Pvt. Ltd. 3A, Auckland Place, 7 th Floor, Room No. 7A & 7B, Kolkata - 700 017	
25.	Any other detail that the auditor may like to provide. (E.g. BIFR company, delisting from SE, company changed its name etc.)	N.A.	

Place: Pune

Date: 20.10.2019

*Richa Shukla*

Richa Shukla
Practicing Company Secretary
ACS No. 40547
CP No 15080
UDIN: A040547A000122013