



# RICHFIELD FINANCIAL SERVICES LTD.

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001  
TEL. (033) 2242-5812, (033) 40681129  
E-mail : rfsl.nbfc@gmail.com  
Website : www.rfsl.in

Date: 26.10.2021

To

The Secretary, Listing Department <b>CSE Limited</b> 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department <b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India <b>Scrip Code: 539435</b>
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Dear Sir / Madam,

**Sub. - : Reconciliation Share Capital Audit Report for the quarter ended 30<sup>th</sup> September, 2021**

With regards to the captioned subject please find enclosed herewith the Reconciliation of Share Capital Audit Report pursuant to Reg. 55A of SEBI (Depositories and Participants) Regulations, 1996 for the quarter ended 30<sup>th</sup> September, 2021 issued by Ms. Richa Shukla, Practicing Company Secretary.

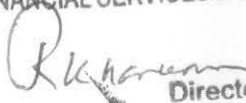
Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For **Richfield Financial Services Limited**

RICHFIELD FINANCIAL SERVICES LTD.

  
Director

**Rajesh Kumar Kankaria**

Director

**DIN: 00097236**

Encl: as above



**RICHA SHUKLA, M.Com, ACS,**  
Practicing Company Secretary

Address:  
844/1 , R. N. Tagore Road  
Kolkata – 700 077,  
West Bengal

(M): +91 9051502921  
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mishrasricha2003@gmail.com

### RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

As per Regulation 76 of SEBI (Depositories and Participants) Regulations, 2018 pursuant to Notification No. SEBI/LAD-NRO/GN/2018/40 dated 03rd October, 2018 and pursuant to circular D&CC/FITTC/CIR - 16/2002 issued by the Securities and Exchange Board of India

1	For Quarter Ended	30.09.2021				
2	ISIN	INE201C01012				
3	Face Value	Rs. 10/- each (Rupees Ten each)				
4	Name of the Company	Richfield Financial Services Limited.				
5	Registered Office Address	33, Brabourne Road, 5 <sup>th</sup> Floor, Kolkata-700 001, West Bengal				
6	Correspondence Address	33, Brabourne Road, 5 <sup>th</sup> Floor, Kolkata-700 001, West Bengal				
7	Telephone & Fax Nos.	(033) 2242-5812 & 2242-6208				
8	Email Address	<a href="mailto:rfsl.nbfc@gmail.com">rfsl.nbfc@gmail.com</a>				
9	Name of the Stock Exchanges where Company's securities are listed	Bombay Stock Exchange (BSE) & Calcutta Stock Exchange (CSE)				
10	Issued Capital	<b>No. of Shares</b>	<b>Issued Capital</b>			
	Equity shares of Rs. 10/- each	3750100	100%			
11	Listed Capital (Equity)	<b>No. of Shares</b>	<b>Listed Capital</b>			
	(As per Company's Record)	3750100	100%			
12	Held in dematerialised form in CDSL	<b>No. of Shares</b>	<b>% of Holding</b>			
		141903	3.78%			
13	Held in dematerialised form in NSDL	<b>No. of Shares</b>	<b>% of Holding</b>			
		3534397	94.25%			
14	Physical	<b>No. of Shares</b>	<b>% of Holding</b>			
		73800	01.97%			
15	<b>Total No. of shares(12+13+14)</b>	<b>No. of Shares</b>	<b>% of Holding</b>			
		3750100	100%			
16	Reasons for difference if any, between (10&11), (10&15), (11&15)	N.A.				
17	Certifying the details of changes in share capital during the quarter under consideration as per table below:					
Particulars ***	No. of shares	Applied/ Not applied for listing	Listed on Stock Exchanges (specify Names)	Whether intimated to CDSL	Whether intimated to CDSL	In-Principal approval pending from Stock Exchanges



<i>Not Applicable</i>			
***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction Forfeiture, Any other (to specify)			
18.	Register of Member is updated (Yes/No)	YES	
	If not, updated up to which date	Not applicable	
19.	Reference of previous quarter with regards to excess dematerialised shares, if any.	Not applicable	
20.	Has the Company resolved the matter mentioned in point no. 19 above in the current quarter? If not, reason why?	Not applicable	
21.	Mention the total no. of requests, if any, confirmed after 21days and the total no. of requests pending beyond 21 days with the reasons for delay		
Total No. of Demat requests		No. of requests	No. of shares
Confirming after 21 Days		-	-
Pending for more than 21 Days		-	-
22.	Name, Telephone & Fax No. of the Compliance Officer of the Co.	Soumitra Ghosh Ph - 2242-5812	
23.	Name, Address, Tel. & Fax No., Regn. no. of the Practicing Company Secretary	Richa Shukla 844/1 R. N. Tagore Road, Kolkata-700077 Ph - 7498193358 M.No. 40547 C.P. 15080	
24.	Appointment of common agency for share registry work	Yes, Niche Technologies Pvt. Ltd. 3A, Auckland Place, 7 <sup>th</sup> Floor, Room No. 7A & 7B, Kolkata - 700 017	
25.	Any other detail that the auditor may like to provide. (E.g. BIFR company, delisting from SE, company changed its name etc.)	N.A.	

Place: Kolkata  
Date: 21.10.2021



*Richa Shukla*

**Richa Shukla**  
Practicing Company Secretary  
ACS No. 40547  
CP No 15080  
UDIN: A040547C001238392

NICHE TECHNOLOGIES PRIVATE LIMITED  
(An ISO 9001:2015 Certified Company)  
(Registrar & Share Transfer Agent)  
3A, Auckland Place, 7th Floor, Room No. 7A & 7B, Kolkata-700017  
Phones: (033) 2280 6616/6617/6618 Fax: (033) 2280 6619  
E-Mail: nichetechpl@nichetechpl.com CIN : U74140WB1994PTC062636

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TO WHOMSOEVER IT MAY CONCERN  
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COMPANY : RICHFIELD FINANCIAL SERVICES LTD.

ISIN : INE201C01012

THIS IS TO CERTIFY THE FOLLOWING :

	AS ON 30/09/2021	AS ON 30/06/2021
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TOTAL NSDL POSITION :	3534397	3343798
TOTAL CDSL POSITION :	141903	332502
TOTAL DEMATERIALISED SHARES :	-----	-----
	3676300	3676300
TOTAL PHYSICAL SHARES :	73800	73800
TOTAL SHARES :	3750100	3750100

FOR NICHE TECHNOLOGIES PVT. LTD.  
(REGISTRAR & TRANSFER AGENT)



PLACE : KOLKATA  
DATE : 01.10.2021

( AUTHORISED SIGNATORY )