

RICHFIELD FINANCIAL SERVICES LTD

2B, Grant Lane, 2nd Floor, Kolkata Kolkata West Bengal 700012

CIN: L65999WB1992PLC055224

E-mail : rfsl.nbfc@gmail.com, Website: www.rfsl.co.in

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18-01-2024

To,
Department of Corporate Services,
Bombay Stock Exchange Limited,
25th Floor, PhirozeJeeJeeBhoy Towers,
Dalal Street, Mumbai- 400 001.
Scrip Code: 533284

Dear Sir,

**Sub: Reconciliation of Share Capital Audit Report for Quarter ended 31.12.2023-
Reg-76(DP)**

Pursuant to Regulation 76(DP) of SEBI (Depositories and Participants) Regulations, 2018, please find enclosed the certificate of "Reconciliation of Share Capital Audit Report" received from Practicing Company Secretary in respect of reconciliation of the total issued Capital, and Listed Capital of the Company for the quarter ended 31st December, 2023.

Kindly acknowledge receipt.

Thanking you,

Yours faithfully,

For RICHFIELD FINANCIAL SERVICES LIMITED

VADASSERIL CHACKO GEORGEKUTTY
MANAGING DIRECTOR
DIN:09194854

Lakshmmi Subramanian & Associates

LAKSHMMI SUBRAMANIAN, B.Com., FCS
P.S. SRINIVASAN, B.A., LL.B., A.C.S
S. SWETHA, B.Com., FCS
Practising Company Secretaries

Date: 17.01.2024
To,

All the Listed Stock Exchanges

Dear Sir,

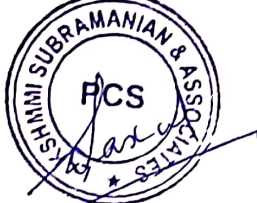
Sub: Reconciliation of Share Capital Audit report for the quarter ended 31st December, 2023.

We are enclosing herewith the Reconciliation of Share Capital Audit report of **M/s. RICHFIELD FINANCIAL SERVICES LIMITED** for the quarter ended 31st December, 2023.

Thanking You,

Yours faithfully,

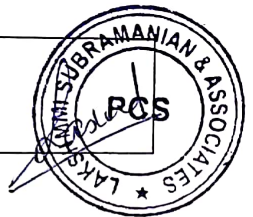
For M/s. Lakshmmi Subramanian & Associates



Lakshmi Subramanian
Senior Partner

RECONCILIATION OF SHARE CAPITAL AUDIT REPORT

- 1 For Quarter Ended 31st December, 2023
- 2 ISIN: INE201C01012
- 3 Face Value: Rs.10/- per share
- 4 Name of the Company RICHFIELD FINANCIAL SERVICES LIMITED
- 5 Registered Office Address 2B, Grant Lane, 2nd Floor, Kolkata, Kolkata WB-700012.
- 6 Correspondence Address 2B, Grant Lane, 2nd Floor, Kolkata, Kolkata WB-700012.
- 7 Telephone & Fax Nos. Telephone No: 044-28290956, Fax No: 044-28290956
- 8 Email address rfsl.nbfc@gmail.com
- 9 Names of the Stock Exchanges where the company's securities are listed BSE Ltd. Calcutta Stock Exchange Ltd.
- | | Number of shares | % of Total Issued Capital |
|---|------------------|---------------------------|
| 10 Issued Capital | 37,50,100 | 100.00% |
| 11 Listed Capital (Exchange-wise) (as per company's records): | | |
| Bombay Stock Exchange Ltd | 37,50,100 | 100 % |
| Calcutta Stock Exchange Ltd | 37,50,100 | 100 % |
| 12 Held in dematerialized form in CDSL | 11,39,706 | 30.39% |
| 13 Held in dematerialized form in NSDL | 25,36,594 | 67.64% |
| 14 Physical | 73800 | 1.97% |
| 15 Total No. of shares (12+ 13+ 14) | 37,50,100 | 100 % |
- 16 Reasons for difference if any, between (10&11), (10&15), Not Applicable



"Murugesha Naicker Office Complex", 81, Greams Road, Chennai 600 006.

Ph: 28292272, 28292273 e-mail : lakshmmi6@gmail.com & customerservices@lsa-india.com



- 17 Certifying the details of changes in share capital during the quarter under consideration as per Table below:

Particulars	No. of Shares	Applied /Not Applied for listing	Listed on Stock Exchanges (specify Names)	Whether intimated to CDSL	Whether intimated to NSDL	In-prin. Appr. Pending for SE (specify Names)
Nil	Nil	Nil	Nil	Nil	Nil	Nil

***Rights, Bonus, Preferential Issue, ESOPs, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, any other (to specify).

- 18 Register of Members is Updated (Yes/No)
If not, updated up to which date

YES
Not Applicable

- 19 Reference of Previous Quarter with regards to excess dematerialized shares, if any

Nil

- 20 Has the company resolved the matter mentioned in Point No. 19 above in the current quarter? If not, reason why?

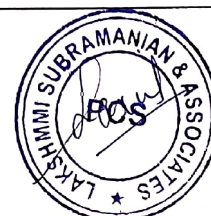
Not Applicable

- 21 Mention the total no. of requests, if any, confirmed after 21 days and the total no. of requests pending beyond 21 days with the reasons for delay:

Total No. of demat requests	No. of requests	No. of Shares	Reasons for delay
Confirmed after 21 Days	Nil	Nil	NA
Pending for more than 21 days	Nil	Nil	NA

- 22 Name, Telephone and Fax No. of the Compliance officer of the Co.

Ms. Priyanka Karla
Membership No.: ACS-60002
Richfield Financial Services Limited,
2B, Grant Lane, 2nd Floor, Kolkata, WB-700012
Telephone No: (033) 2242-5812 & 2242-6208
Phone No: 7339878329



23 Name, Address, Tel. & Fax No., Regn.
No of the Auditor

Mrs. Lakshmi Subramanian,
Senior Partner
M/s. Lakshmmi Subramanian & Associates
"Murugesu Naicker Office Complex",
81, Greaves Road, Chennai-600 006.
C.P. No. 1087
Telephone No: 28292272-73

24 Appointment of common agency for
share registry work

M/s. Niche Technologies Private Limited
No. 3A, Auckland Place, 7th Floor, Room
No. 7A & 7B, Kolkata-700 017
Phone No. 033-2280 6616/617/6618
Fax No.033-2280 6619
E-mail ID: nichetechchpl@nichetechpl.com

If yes (name & address)

25 Any other detail that the auditor may like to provide. (e.g. BIFR Company, delisting
from SE, company changed its name etc.)

On 13.04.2022, the Board of Directors of the Company decided for delisting the
company from CSE (13.04.2022).

As on date of signing: Action pending.

-----Nil-----

For Lakshmmi Subramanian & Associates

Practicing company secretaries

Date: 17.01.2024

Place: Chennai



Lakshmi Subramanian

Senior Partner

FCS No. 3534

CP No. 1087

P.R. No: 1670/2022

UDIN: F003534E003235444