

# **RICHFIELD FINANCIAL SERVICES LTD**

CIN: L65999WB1992PLC055224

E-mail : [rfsl.nbfc@gmail.com](mailto:rfsl.nbfc@gmail.com), Website: [www.rfsl.co.in](http://www.rfsl.co.in)

Ph:+91 484 4033100

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To,

**BSE Limited,**

Floor 1, Phiroze Jeejeebhoy Towers,

Dalal Street, Fort

Mumbai - 400001.

**Scrip Code: 539435**

Dear Sirs/Madam,

**Subject: SDD Compliance Certificate for the quarter and half year ended 30<sup>th</sup> September 2024**

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the quarter and half year ended 30<sup>th</sup> September 2024.

Kindly take the above information on record.

Thanking You,

Yours Faithfully,

**FOR RICHFIELD FINANCIAL SERVICES LIMITED**

**VADASSERIL CHACKO GEORGEKUTTY**

**MANAGING DIRECTOR**

**DIN: 09194854**

**Registered Office Address:**2B, Grant Lane, 2nd Floor, Kolkata Kolkata West Bengal 700012

**Correspondence Address:**Door No. 53/2320-C, First Floor, Ashiyana Building, Subash Chandra Bose Road, Opp. CKCGHS, Ponnurunni, Vytilla- 682019

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## **COMPLIANCE CERTIFICATE FOR THE QUARTER AND HALF YEAR ENDED 30.09.2024**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Priyanka Kalra, Company Secretary cum Compliance officer of the Company, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place.
2. Control exists as to who can access the SDD.
3. All the UPSI disseminated in the previous quarter also is being captured in the SDD.
4. The SDD has captured the nature of UPSI along with the date and time.
5. The database has been maintained internally and the audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture Two events during the quarter and half year ended 30<sup>th</sup> September 2024 and has captured Two number of the said required events.

I would like to report that no non-compliance was observed in the previous quarter ended on 30<sup>th</sup> June 2024.

**FOR RICHFIELD FINANCIAL SERVICES LIMITED**



**PRIYANKA KALRA**  
**COMPANY SECRETARY CUM COMPLIANCE OFFICER**

**PLACE: WEST BENGAL**

**DATE: 19.10.2024**

**Registered Office Address:**2B, Grant Lane, 2nd Floor, Kolkata Kolkata West Bengal 700012  
**Correspondence Address:**Door No. 53/2320-C, First Floor, Ashiyana Building, Subash Chandra Bose Road, Opp. CKCGHS, Ponnurunni, Vytilla- 682019