

## **RICHFIELD FINANCIAL SERVICES LTD**

2B, Grant Lane, 2nd Floor, Kolkata Kolkata West Bengal 700012

CIN: L65999WB1992PLC055224

E-mail : [rfsl.nbfc@gmail.com](mailto:rfsl.nbfc@gmail.com), Website: [www.rfsl.co.in](http://www.rfsl.co.in)

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**DATE: 09-01-2024**

**To:**  
**Bombay Stock Exchange Limited,**  
**Floor 1, 'Phiroze Jee Jee bhoy Towers,**  
**Dalal Street, Mumbai - 400 001.**

**Scrip Code: 539435**

Dear Sirs,

**Sub: SDD Compliance Certificate for the quarter ended 31<sup>st</sup> December 2023**

Pursuant to Regulation 3(5) and 3(6) of the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (PIT Regulations), please find enclosed herewith the SDD compliance certificate for the quarter ended 31<sup>st</sup> December 2023.

Kindly take the above information on record.

Thanking you,

Yours faithfully,

**FOR RICHFIELD FINANCIAL SERVICES LIMITED**

**VADASSERIL CHACKO GEORGEKUTTY**

**MANAGING DIRECTOR**

**DIN:09194854**

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### **COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31.12.2023**

*(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)*

I, Priyanka Kalra, Company Secretary cum Compliance officer of the company, am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. The Company has a Structured Digital Database in place
2. Control exists as to who can access the SDD
3. All the UPSI disseminated in the previous quarter also is being captured in the SDD.
4. The SDD has captured the nature of UPSI along with the date and time
5. The database has been maintained internally and the audit trail is maintained.
6. The database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture one event during the quarter that ended 31st December 2023 and has captured one number of the said required event.

I would like to report that no non-compliance was observed in the previous quarter ended on 30th September 2023.

**FOR RICHFIELD FINANCIAL SERVICES LIMITED**



**PRIYANKA KALRA  
COMPANY SECRETARY CUM COMPLIANCE OFFICER**

**PLACE: WEST BENGAL  
DATE: 09-01-2024**