

(I) (a) STATEMENT SHOWING SHAREHOLDING PATTERN

Name of the Company: RICHFIELD FINANCIAL SERVICES LTD.

Scrip Code: Name of the Scrip:

Class of security:

Quarter Ended: 30/09/2015

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Partly paid-up shares:-	No. of partly paid-up shares	As a % of total no. of partly paid-up shares	As a % of total no. of shares of the company
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Held by promoter/promoter group

Held by public  
Total - D

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Outstanding convertible securities:-	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company, assuming full conversion of the convertible securities
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Held by promoter/promoter group

Held by public  
Total - E

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Warrants:-	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company, assuming full conversion of warrants
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Held by promoter/promoter group

Held by public  
Total - F

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Total paid-up capital of the company, assuming full conversion of warrants and convertible securities	3750100		
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Category Code	Category of Shareholders	Number of shareholders	Total number of shares	Number of shares held in Demat Form	As a % of (A+B)	As a % of (A+B+c)	Number of Pledged shares	As a percentage (ix)=(viii/iv*100)
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	(ix)
(A)	Total Shareholding of Promoter & Promoter Group							
(1)	Indian							
(a)	Individual/HUF	19	169600	169600	4.523	4.523	Nil	Nil
(b)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Bodies Corporate	4	1025600	1025600	27.349	27.349	Nil	Nil
(d)	Fin.Institutions / Bank	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A) (1)	23	1195200	1195200	31.871	31.871	Nil	Nil
(2)	Foreign							
(a)	Individuals (NRIs/Foreign)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(b)	Bodies Corporate	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(c)	Institutions	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(d)	Qualified Foreign Investors	Nil	Nil	Nil	Nil	Nil	Nil	Nil
(e)	Any Other	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Sub-Total of (A) (2)	Nil	Nil	Nil	Nil	Nil	Nil	Nil
	Shareholding of Promoter & Promoter Group (A) = A1+A2	23	1195200	1195200	31.871	31.871	Nil	Nil
(B)	Public Shareholding							
(1)	Institutions							
(a)	Mutual Funds / UTI	Nil	Nil	Nil	Nil	Nil	NA	NA
(b)	Fin.Institutions / Banks	Nil	Nil	Nil	Nil	Nil	NA	NA
(c)	Central Govt./ State Govt.	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Venture Capital	Nil	Nil	Nil	Nil	Nil	NA	NA
(e)	Insurance Company	Nil	Nil	Nil	Nil	Nil	NA	NA
(f)	Foreign Inst.Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(g)	Foreign VC Investors	Nil	Nil	Nil	Nil	Nil	NA	NA
(h)	QFI - Corporate	Nil	Nil	Nil	Nil	Nil	NA	NA
(l)	Any Other	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (B) (1)	Nil	Nil	Nil	Nil	Nil	NA	NA
(2)	Non-Institutions							
(a)	Bodies Corporate	37	1887324	1821374	50.327	50.327	NA	NA
(b)	Individuals							
	i.Individual Shareholders Holding Nominal Share Upto Rs.1 Lakh	533	667576	575776	17.802	17.802	NA	NA
	ii.Individual Shareholders Holding Nominal Share greater than Rs.1 Lakh	Nil	Nil	Nil	Nil	Nil	NA	NA
(c)	QFI - Individual	Nil	Nil	Nil	Nil	Nil	NA	NA
(d)	Any Other	Nil	Nil	Nil	Nil	Nil	NA	NA
	Sub-Total (B) (2)	570	2554900	2397150	68.129	68.129	NA	NA
	Total Public Shareholding (B) = (B) (1) + (B) (2)	570	2554900	2397150	68.129	68.129	NA	NA
	TOTAL (A)+(B)	593	3750100	3592350	100.000	100.000	Nil	Nil
(C)	Shares held by Custodians And against which DRs have been issued	Nil	Nil	Nil	Nil	Nil	NA	NA
(1)	Promoter and Promoter group	Nil	Nil	Nil	Nil	Nil	NA	NA
(2)	Public	Nil	Nil	Nil	Nil	Nil	NA	NA
	GRAND TOTAL (A)+(B)+(C)	593	3750100	3592350	100.000	100.000	Nil	Nil

Sr1. Name of the shareholder	Details of Shares Held		Encumbered shares (*)			Details of warrants		Details of Convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital	
	Number of Shares held	As a % of grand total (A)+(B)+(C)	Number of Pledged Shares held	As a percentage	As a % of grand total (A)+(B)+(C) of sub-clause (I) (a)	Number of Warrants held	As a % of total warrants of the same Class	Number of convertible securities held	%w.r.t. total number of convertible securities of the same class		
(i)	(ii)	(iii)	(iv)	(v)	(vi) = (v) / (iii) * 100	(vii)	(viii)	(ix)	(x)	(xi)	(xii)
1. BRAIN BUSINESS PVT LTD		439400	11.717	0	0.000	0.000	0	0.000	0	0.000	11.717
2. DINKAR COMMERCIALS PRIVATE LIMITED		362900	9.677	0	0.000	0.000	0	0.000	0	0.000	9.677
3. EKTAA TRADEFIN PVT. LTD.		110000	2.933	0	0.000	0.000	0	0.000	0	0.000	2.933
4. SUPERDEAL RESOURCES PVT. LTD.		113300	3.021	0	0.000	0.000	0	0.000	0	0.000	3.021
5. DAMYANTI KANKARIA		2500	0.067	0	0.000	0.000	0	0.000	0	0.000	0.067
6. DHARAM CHAND KANKARIA		2500	0.067	0	0.000	0.000	0	0.000	0	0.000	0.067
7. DHARAM CHAND KANKARIA		2500	0.067	0	0.000	0.000	0	0.000	0	0.000	0.067
8. KOMAL KANKARIA		25500	0.680	0	0.000	0.000	0	0.000	0	0.000	0.680
9. MAHABIR CHAND KANKARIA		1500	0.040	0	0.000	0.000	0	0.000	0	0.000	0.040
10. MAHAVIR CHAND KANKARIA		2500	0.067	0	0.000	0.000	0	0.000	0	0.000	0.067
11. NARESH KUMAR KANKARIA		12400	0.331	0	0.000	0.000	0	0.000	0	0.000	0.331
12. NISHKARSH KANKARIA		10000	0.267	0	0.000	0.000	0	0.000	0	0.000	0.267
13. RAJESH KUMAR KANKARIA		14300	0.381	0	0.000	0.000	0	0.000	0	0.000	0.381
14. RAJESH KUMAR KANKARIA		12200	0.325	0	0.000	0.000	0	0.000	0	0.000	0.325
15. RAJNI KANKARIA		3500	0.093	0	0.000	0.000	0	0.000	0	0.000	0.093
16. RASILA DEVI KANKARIA		3000	0.080	0	0.000	0.000	0	0.000	0	0.000	0.080
17. RISHABH KANKARIA		2500	0.067	0	0.000	0.000	0	0.000	0	0.000	0.067
18. RUBY KANKARIA		4900	0.131	0	0.000	0.000	0	0.000	0	0.000	0.131
19. SALONI KANKARIA		9500	0.253	0	0.000	0.000	0	0.000	0	0.000	0.253
20. SHOBHA DEVI KANKARIA		2800	0.075	0	0.000	0.000	0	0.000	0	0.000	0.075
21. SHUBHAM KANKARIA		27000	0.720	0	0.000	0.000	0	0.000	0	0.000	0.720
22. SHUBHANGI KANKARIA		24000	0.640	0	0.000	0.000	0	0.000	0	0.000	0.640
23. SIDDHANT KANKARIA		6500	0.173	0	0.000	0.000	0	0.000	0	0.000	0.173
<b>TOTAL</b>		<b>1195200</b>	<b>31.871</b>	<b>0</b>	<b>0.000</b>	<b>0.000</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>31.871</b>

Sr1. Name(s) of the shareholder	Number of Shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above}	Details of Warrants		Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % of total warrants of the same Class	Number of convertible securities held	%w.r.t. total convertible securities of the same class	
1. AGARWALLA UDYOG PVT LTD	186875	4.983	0	0.000	0	0.000	4.983
2. AMARENDRA FINANCIAL PRIVATE LIMITED	185000	4.933	0	0.000	0	0.000	4.933
3. AVIGHNA COMMERCE LIMITED	159150	4.244	0	0.000	0	0.000	4.244
4. BHAWNA COMTRADE PVT. LTD.	65850	1.756	0	0.000	0	0.000	1.756
5. DALAL STREET VINIMAY PVT. LTD.	165075	4.402	0	0.000	0	0.000	4.402
6. GAJMUKTA MERCHANTS PVT.LTD.	101350	2.703	0	0.000	0	0.000	2.703
7. GANDHARI COMMERCIAL PVT. LTD.	89700	2.392	0	0.000	0	0.000	2.392
8. INCAB COMMODEAL PRIVATE LIMITED	84099	2.243	0	0.000	0	0.000	2.243
9. KANAD EXIM LTD.	110000	2.933	0	0.000	0	0.000	2.933
10. SAMSKAR FINANCIAL SERVICES PVT. LTD.	44800	1.195	0	0.000	0	0.000	1.195
11. UMA FORGING WORKS PRIVATE LIMITED	182850	4.876	0	0.000	0	0.000	4.876
12. VANDANA COMMERCIALS PVT LTD	49675	1.325	0	0.000	0	0.000	1.325
13. VARSHA TRADERS PVT LTD	50000	1.333	0	0.000	0	0.000	1.333
14. ZAWAR SALES LIMITED	187000	4.987	0	0.000	0	0.000	4.987
15. AMIT SINGH	361976	9.652	0	0.000	0	0.000	9.652
<b>TOTAL</b>	<b>2023400</b>	<b>53.956</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>Nil</b>	<b>53.956</b>

RICHFIELD FINANCIAL SERVICES LTD.

(I) (c) (ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category [PUBLIC] and holding more than 5.000(%) of the total number of shares of the company as on 30/09/2015

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Sr1. Name(s) of the shareholder and the Persons Acting in Concert (PAC) with them	Number of Shares held	Shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I) (a) above}	Details of Warrants		Details of convertible Securities		Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
			Number of Warrants held	As a % total of warrants of the same Class	Number of convertible securities held	%w.r.t. total number of convertible securities of the same class	
1. AMIT SINGH	361976	9.652	0	0.000	0	0.000	9.652
TOTAL	361976	9.652	Nil	Nil	Nil	Nil	9.652

RICHFIELD FINANCIAL SERVICES LTD.

(I) (d) STATEMENT SHOWING DETAILS OF LOCKED-IN SHARES AS ON 30/09/2015

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Srl.	Name(s) of the Shareholder	Category of Shareholders (Promoter/ Public)	Number of Locked-in Shares	% to Total
1.			Nil	Nil

Sr1.	Type of Outstanding DR (ADRs, GDRs, SDRs, etc)	Number of Outstanding DRs	Number of Shares underlying outstanding DRs	Shares underlying Outstanding DRs as a Percentage of total number of shares (ie. Grand total (A)+(B)+ (C) indicated in Statement at para (I) (a) above)
1.	Nil	Nil	Nil	Nil

RICHFIELD FINANCIAL SERVICES LTD.

(II) (b) STATEMENT SHOWING DETAILS OF DEPOSITORY RECEIPTS (DRs), WHERE UNDERLYING SHARES HELD BY 'PROMOTER/PROMOTER GROUP' ARE IN EXCESS OF 1% OF THE TOTAL NO.OF SHARES

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Sr1.	Name of the DR Holder	Type of outstanding DR (ADRs,GDRs SDRs,etc.) DRs	Number of Shares Underlying outstanding DRs	Shares underlying Outstanding DRs as Percentage of total number of shares (ie. Grand total (A)+(B)+(C) indicated in statement at para (I) (a) above
1.	Nil	Nil	Nil	Nil
2.	Nil	Nil	Nil	Nil
<b>TOTAL</b>	Nil	Nil	Nil	Nil