



**RICHFIELD FINANCIAL SERVICES LTD.**

CIN No. L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA-700 001  
TEL. (033) 2242-5812, (033) 3023-0347  
E-mail : rfsl.nbfc@gmail.com

Date: 16th January, 2016

To  
The Manager  
Department of Corporate Services  
Bombay Stock Exchange Ltd.  
Dalal Street, Fort  
Mumbai - 400 001

**Sub. - : Reg. 31(1)(b) - Shareholding Pattern for the Quarter ended December 31, 2015**

**Ref. - : Scrip Code - 539435**

Sir / Madam,

With regards to the captioned subject please find enclosed herewith the Shareholding Pattern of the company as on December 31, 2015, as per Regulation 31(1) (b) of the Listing Regulations.

Kindly take the same on your records and acknowledge the receipt.

Thanking you,

Yours faithfully,

For Richfield Financial Services Limited

ABHIJIT PUGLIA  
Managing Director  
DIN NO. 05172495

Encl: as above

**Format of holding of specified securities**

**Annexure - I**

- 1 Name of Listed Entity : RICHFIELD FINANCIAL SERVICES LTD.
- 2 Scrip Code/Name of Scrip/Class of Security : **539435 / RFSL / XT GROUP**
- 3 Share Holding Pattern Filed under: Reg. 31(1) ( b ) : Quarter Ended 31/12/2015
- 4 Declaration:

	<b>Particulars</b>	<b>Yes</b>	<b>No</b>
1	Whether the Listed Entity has issued any partly paid up shares		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipt are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	No. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (Xiii)		Number of equity shares held in dematerialized form (XIV)	
								No. of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Class eg:x	Class eg:y	Total								
(A)	Promoter & Promoter Group	23	1195200	0	0	1195200	31.871	1195200	0	1195200	31.871	0	31.871	0	0.000	0	0.000	1195200
(B)	Public	575	2554900	0	0	2554900	68.129	2554900	0	2554900	68.129	0	68.129	0	0.000	0	0.000	2397150
(C)	Non Promoter - Non Public																	
(C1)	Shares underlying DRs																	
(C2)	Shares held by Employee Trusts																	
	TOTAL	598	3750100	0		3750100	100.000	3750100	0	3750100	100.000	0	100.000	0	0.000	0	0.000	3592350

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
									No. of Voting Rights					Total as a % of Total Voting rights	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
									Class x	Class y	Total								
(1)	Indian		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0	
(a)	Individuals/Hindu undivided Family		20	532500	0	0	532500	14.200	532500	0	532500	14.200	0	14.200	0	0.000	0	0.000	532500
	DAMYANTI KANKARIA	AFSPK9697J	0	2500	0	0	2500	0.067	2500	0	2500	0.067	0	0.067	0	0.000	0	0.000	2500
	DHARAM CHAND KANKARIA	AACHD0479E	0	2500	0	0	2500	0.067	2500	0	2500	0.067	0	0.067	0	0.000	0	0.000	2500
	DHARAM CHAND KANKARIA	AFYPK0165P	0	2500	0	0	2500	0.067	2500	0	2500	0.067	0	0.067	0	0.000	0	0.000	2500
	DINKAR COMMERCIALS PRIVATE LIMITED	AABCD0439L	0	362900	0	0	362900	9.677	362900	0	362900	9.677	0	9.677	0	0.000	0	0.000	362900
	KOMAL KANKARIA	ARYPK8554C	0	25500	0	0	25500	0.680	25500	0	25500	0.680	0	0.680	0	0.000	0	0.000	25500
	MAHABIR CHAND KANKARIA	AACHM9694Q	0	1500	0	0	1500	0.040	1500	0	1500	0.040	0	0.040	0	0.000	0	0.000	1500
	MAHAVIR CHAND KANKARIA	AFYPK0166Q	0	2500	0	0	2500	0.067	2500	0	2500	0.067	0	0.067	0	0.000	0	0.000	2500
	NARESH KUMAR KANKARIA	AFXPK1479F	0	12400	0	0	12400	0.331	12400	0	12400	0.331	0	0.331	0	0.000	0	0.000	12400
	NISHKARSH KANKARIA	ARYPK8552E	0	10000	0	0	10000	0.267	10000	0	10000	0.267	0	0.267	0	0.000	0	0.000	10000
	RAJESH KUMAR KANKARIA	AFSPK9586L	0	14300	0	0	14300	0.381	14300	0	14300	0.381	0	0.381	0	0.000	0	0.000	14300
	RAJESH KUMAR KANKARIA	AACHR7382C	0	12200	0	0	12200	0.325	12200	0	12200	0.325	0	0.325	0	0.000	0	0.000	12200
	RAJNI KANKARIA	AFYPK3678R	0	3500	0	0	3500	0.093	3500	0	3500	0.093	0	0.093	0	0.000	0	0.000	3500
	RASILA DEVI KANKARIA	AFCPK7839Q	0	3000	0	0	3000	0.080	3000	0	3000	0.080	0	0.080	0	0.000	0	0.000	3000
	RISHABH KANKARIA	ARWPK0711H	0	2500	0	0	2500	0.067	2500	0	2500	0.067	0	0.067	0	0.000	0	0.000	2500
	RUBY NAHAR	ANIPK3276B	0	4900	0	0	4900	0.131	4900	0	4900	0.131	0	0.131	0	0.000	0	0.000	4900
	SALONI KANKARIA	ARWPK0709P	0	9500	0	0	9500	0.253	9500	0	9500	0.253	0	0.253	0	0.000	0	0.000	9500
	SHOBHA DEVI KANKARIA	AFCPK7802D	0	2800	0	0	2800	0.075	2800	0	2800	0.075	0	0.075	0	0.000	0	0.000	2800
	SHUBHAM KANKARIA	ARWPK0710G	0	27000	0	0	27000	0.720	27000	0	27000	0.720	0	0.720	0	0.000	0	0.000	27000
	SHUBHANGI KANKARIA	ARWPK0708N	0	24000	0	0	24000	0.640	24000	0	24000	0.640	0	0.640	0	0.000	0	0.000	24000
	SIDDHANT KANKARIA	ARYPK8553F	0	6500	0	0	6500	0.173	6500	0	6500	0.173	0	0.173	0	0.000	0	0.000	6500
(b)	Central Government/State Government(s)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Bodies Corporate		3	662700	0	0	662700	17.672	662700	0	662700	17.672	0	17.672	0	0.000	0	0.000	662700
	BRAIN BUSINESS PVT LTD	AABCB1305K	0	439400	0	0	439400	11.717	439400	0	439400	11.717	0	11.717	0	0.000	0	0.000	439400
	EKTAA TRADEFIN PVT. LTD.	AAACE5683N	0	110000	0	0	110000	2.933	110000	0	110000	2.933	0	2.933	0	0.000	0	0.000	110000
	SUPERDEAL RESOURCES PVT. LTD.	AADCS7472E	0	113300	0	0	113300	3.021	113300	0	113300	3.021	0	3.021	0	0.000	0	0.000	113300
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Members		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(1)		23	1195200	0	0	1195200	31.871	1195200	0	1195200	31.871	0	31.871	0	0.000	0	0.000	1195200
(2)	Foreign		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals (Non-Resident Individuals/Foreign Individuals)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Government		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (A)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)			23	1195200	0	0	1195200	31.871	1195200	0	1195200	31.871	0	31.871	0	0.000	0	0.000	1195200
---	--	--	----	---------	---	---	---------	--------	---------	---	---------	--------	---	--------	---	-------	---	-------	---------

**Table III - Statement showing shareholding pattern of the Public shareholder**

	Category & Name of shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid-up equity shares held (IV)	No. of partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipt (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)				No. of Shares Underlying Outstanding convertible securities (Including Warrants) (X)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) as a % of (A+B+C)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialized form (XIV)
									No. of Voting Rights			Total as a % of Total Voting rights			No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
									Class x	Class y	Total								
(1)	Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Mutual Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	Venture Capital Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(e)	Foreign Portfolio Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(f)	Financial Institutions/Banks		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(g)	Insurance Companies		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(h)	Provident Funds/Pension Funds		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(i)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Foreign Institutional Investors		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	QF1 - Corporate		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(1)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(2)	Central Government/State Government(s)/President of India		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(2)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(3)	Non-Institutions		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(a)	Individuals		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	i) Individual shareholders holding nominal share capital up to Rs.2 Lakhs.		538	667576	0	0	667576	17.802	667576	0	667576	17.802	0	17.802	0	0.000	0	0.000	575776
	AMIT SINGH	BABPS7447D	0	361976	0	0	361976	9.652	361976	0	361976	9.652	0	9.652	0	0.000	0	0.000	361976
	ii) Individual shareholders holding nominal share capital in excess of Rs.2 Lakhs.		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(b)	NBFCs registered with RBI		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(c)	Employee Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
(d)	Overseas Depositors (holding DRs)(balancing figure)		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0

(e)	Any Other		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0		
	Bodies Corporate		37	1887324	0	0	1887324	50.327	1887324	0	1887324	50.327	0	50.327	0	0.000	0	0.000	1821374
	AGARWALLA UDYOG PVT LTD	AACCA5223C	0	186875	0	0	186875	4.983	186875	0	186875	4.983	0	4.983	0	0.000	0	0.000	186875
	AMARENDRA FINANCIAL PRIVATE LIMITED	AAHCA0512D	0	185000	0	0	185000	4.933	185000	0	185000	4.933	0	4.933	0	0.000	0	0.000	185000
	AVIGHNA COMMERCE LIMITED	AAICA0367M	0	159150	0	0	159150	4.244	159150	0	159150	4.244	0	4.244	0	0.000	0	0.000	159150
	BHAWNA COMTRADE PVT. LTD.		0	65850	0	0	65850	1.756	65850	0	65850	1.756	0	1.756	0	0.000	0	0.000	0
	GAJMUUKTA MERCHANTS PVT.LTD.	AACCG3833G	0	101350	0	0	101350	2.703	101350	0	101350	2.703	0	2.703	0	0.000	0	0.000	101350
	GANDHARI COMMERCIAL PVT. LTD.	AACCG3301R	0	89700	0	0	89700	2.392	89700	0	89700	2.392	0	2.392	0	0.000	0	0.000	89700
	INCAB COMMODEAL PRIVATE LIMITED	AAACI5347M	0	84099	0	0	84099	2.243	84099	0	84099	2.243	0	2.243	0	0.000	0	0.000	84099
	KANAD EXIM LTD.	AABCK4391E	0	110000	0	0	110000	2.933	110000	0	110000	2.933	0	2.933	0	0.000	0	0.000	110000
	SAMSKAR FINANCIAL SERVICES PVT. LTD.	AABCG3240J	0	44800	0	0	44800	1.195	44800	0	44800	1.195	0	1.195	0	0.000	0	0.000	44800
	SHIDHARTH SECURITIES PRIVATE LIMITED	AAECS8528C	0	165075	0	0	165075	4.402	165075	0	165075	4.402	0	4.402	0	0.000	0	0.000	165075
	UMA FORGING WORKS PRIVATE LIMITED	AAACU3678D	0	182850	0	0	182850	4.876	182850	0	182850	4.876	0	4.876	0	0.000	0	0.000	182850
	VANDANA COMMERCIALS PVT LTD	AAACV8946P	0	49675	0	0	49675	1.325	49675	0	49675	1.325	0	1.325	0	0.000	0	0.000	49675
	VARSHA TRADERS PVT LTD	AAACV9129Q	0	50000	0	0	50000	1.333	50000	0	50000	1.333	0	1.333	0	0.000	0	0.000	50000
	ZAWAR SALES LIMITED	AAACZ0593N	0	187000	0	0	187000	4.987	187000	0	187000	4.987	0	4.987	0	0.000	0	0.000	187000
	QFI - Individual		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	NRI / OCBs		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Trusts		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Clearing Memebers		0	0	0	0	0	0.000	0	0	0	0.000	0	0.000	0	0.000	0	0.000	0
	Sub-Total (B)(3)		575	2554900	0	0	2554900	68.129	2554900	0	2554900	68.129	0	68.129	0	0.000	0	0.000	2397150
	Total Public Shareholding (B)=(B)(1)+(B)(2)+(B) (3)		575	2554900	0	0	2554900	68.129	2554900	0	2554900	68.129	0	68.129	0	0.000	0	0.000	2397150

