



## **RICHFIELD FINANCIAL SERVICES LTD.**

CIN : L65999WB1992PLC055224

33, BRABOURNE ROAD, 5TH FLOOR, KOLKATA - 700 001  
TEL. (033) 2242-5812, (033) 3023-0347  
E-mail : rfsl.nbfc@gmail.com

Date: 28.10.2018

To

The Secretary, Listing Department <b>CSE Limited</b> 7, Lyons Range, Dalhousie, Murgighata, B B D Bagh, Kolkata-700001 West Bengal, India	The Secretary, Listing Department <b>BSE Limited</b> Phiroze Jeejeebhoy Towers Dalal Street, Mumbai- 400001 Maharashtra, India <b>Scrip Code:539435</b>
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Dear Sir/Madam,

Please find enclosed a Certificate dated 28<sup>th</sup> October, 2018 for the half- yearly ended 30<sup>th</sup> September, 2018 from Ms. Richa Shukla, Practicing Company Secretary certifying that the status of the companys' request for the transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificates, exchange or endorsement of calls/ allotment monies received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018.

This Certificate has been issued in terms of Regulation 40(9) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

This is for your information & records.

Yours faithfully,

Yours faithfully,  
For **Richfield Financial Services Limited**  
  
Director  
**Rajesh Kumar Kankaria**  
Director  
DIN NO. 00097236



**CERTIFICATE UNDER REGULATION 40(9) OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATION AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015 FOR THE HALF YEARLY ENDED 30<sup>TH</sup> SEPTEMBER, 2018**

I have examined all Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to M/s. Richfield Financial Services Limited, a company registered under the Companies Act, 1956, having registered office at 33 Brabourne Road, 5<sup>th</sup> Floor, Kolkata-700 001, maintained by Niche Technologies Private Limited, Registrar & Share Transfer Agents pertaining to transfer of equity shares of the Company for the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September 2018 for the purpose of issuing a certificate as Regulation 40(9) of Securities and Exchange Board of India (Listing Obligation and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company. I hereby certify that:

A) As per the records made available to me the details relating to request for transfer, transmission, consolidation, sub-division, renewal, duplicate issue of share Certificate, exchange or endorsement of calls/ rematerialisation / allotment monies received during the period from 1<sup>st</sup> July, 2018 to 30<sup>th</sup> September, 2018 are as under:

SN	Particulars of change in shares	Details of change of Shares
1	Transfer	Nil
2	Transmission	Nil
3	Consolidation	Nil
4	Sub-division (Split)	Nil
5	Duplicate issue of share Certificate	Nil
6	Exchange or endorsement of calls	Nil
7	Allotment monies	Nil
8	Rematerialisation	Nil

B) Any other information, if applicable:

Place: Kolkata

Date: 28-10-2018

*Richa Shukla*

**RICHA SHUKLA**  
PRACTICING COMPANY SECRETARY  
C.P. NO. - 15080  
844/1, R.N. Tagore Road  
Kolkata - 700077  
Ph. No. - 9051502921